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Reissue Application No. 09/689,757
Atty. Dkt. No. 030681-032

REISSUE APPLICATION DECLARATION BY THE INVENTORS

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below next to my name.

I believe I am the original, first and joint inventor of the subject matter which is described and claimed in patent number 5,822,135, granted October 13, 1998, and for which a reissue patent is sought on the invention entitled Lens Device Including A Light Controlling Mechanism and An Optical Pickup Apparatus Using A Lens Device, the specification of which was filed on October 13, 2000 as Reissue Application Number 09/689,757.

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56.

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reason of the patentee claiming more or less than he had the right to claim in the patent.

At least one error upon which reissue is based is described as follows:

The issued claims claim less than patentee had a right to claim in reciting the light blocking aspect of the second region in each of the claims rather than the positive aspects of the first region.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(e) of any foreign application(s) for patent or inventor's certificate or of any

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Reissue Application No. 09/689,757
Atty. Dkt. No. 030681-032
Page 2

PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Korean Application No. 95-27715 filed August 30, 1995

Korean Application No. 95-31679 filed September 25, 1995

Korean Application No. 95-33914 filed October 4, 1995

Korean Application No. 96-1605 filed January 25, 1996

Korean Application No. 96-3605 filed February 14, 1996

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Provisional Application No. 60/014,101 filed March 26, 1996

All errors corrected in this reissue application arose without any deceptive intention on the part of the applicant.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Charles F. Wieland III, Registration No. 33,096, and
the attorneys and agents of Burns, Doane, Swecker & Mathis, L.L.P.
Customer Number 21839.

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Reissue Application No. 09/689,757
Atty. Dkt. No. 030681-032
Page 3

Correspondence Address: Direct all communications about the application to:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this declaration is directed.

Date: 23 August 2001

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Reissue Application No. 09/689,757
Atty. Dkt. No. 030681-032
Page 4

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PTO/53/06 (6-98)
Approved for use through 09/30/2000. OM 0651-0031
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Attorney's Docket No. 030681-032

STATEMENT UNDER 37 C.F.R. 1.3.73(b)

Applicant/Patent Owner: Samsung Electronics Co., Ltd.

Application No./Patent No.: 09/898,787/B.822,135 Filed/Issue Date: October 13, 2000/October 13, 1999

Entitled: LENS DEVICE INCLUDING A LIGHT CONTROLLING MECHANISM AND AN OPTICAL PICKUP APPARATUS USING A LENS DEVICE

Samsung Electronics Co., Ltd.

(Name of Assignee)

a corporation

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 8043, Frame 0293, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.6)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

Date: August 24, 2001

Hong Kuen Pao

Signature
Kuen-pao Hong

Typed or printed name
Senior Manager
Title

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PTO/SB/23 (10-00)

Approved for use through 12/30/2000. OMB 0551-0003
U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Patentmark Registration Act of 1988, no action is required in respect to a collection of information unless it contains a valid OMB control number.

**REISSUE APPLICATION: CONSENT OF ASSIGNEE;
STATEMENT OF NON-ASSIGNMENT**Docket Number (Optional)
030681-032

This is part of the application for a reissue patent based on the original patent identified below.

Name of Patentee(s) Chul-woo LEE, Dong-ho SHIN, Kyung-kwa RIN, Chong-sam CHUNG,
Kun-ho CHO, Pyong-yong SEUNG, Jang-hoon YOO, & Yong-hoon LEEPatent Number 5,822,135Date Patent issued October 13, 1998Title of Invention Lens Device Including A Light Controlling Mechanism and An Optical
Pickup Apparatus Using A Lens Device

- ☒ Filed herein is a statement under 37 CFR 3.75(b). (Form PTO/SB/05)
- ☐ Ownership of the patent is in the inventor(s), and no assignment of the patent is in effect.

One of boxes 1 or 2 above must be checked. If multiple assignees, complete this form for each assignee. If box 2 is checked, skip the next entry and go directly to "Name of Assignee".

The written consent of all assignees and inventors owning an undivided interest in the original patent is included in this application for reissue.

Samsung Electronics
Co., Ltd.The assignee(s) owning an undivided interest in said original patent is/are _____
and the assignee(s) consents to the accompanying application for reissue.Name of assignee/inventor (if not assigned)
Samsung Electronics Co., Ltd.

Signature

Yong Kwon Pyo

Date

August 24, 2001

Typed or printed name and title of person signing for assignee (if assigned)

Kuen-pyo Hong

Senior Manager

Burdick Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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